



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 22 2002

Technology Center 2100

In re application of:

R. Bertram et al.

Examiner:

B. Huynh

Serial No.: 09/143,967

Group Art Unit: 2173

Filed: August 31, 1998

Intellectual Property Law

IBM Corporation

Title: MOBILE CLIENT

Dept. 972/B656

COMPUTER TO PREDICT

P.O. Box 121

INPUT

Research Triangle Park, NC 27709

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE
BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. §1.97(d))**

NOTE: An information disclosure statement shall be considered by the Office if filed after the mailing date of either (1) a final action under §1.113 or (2) a notice of allowance under §1.311, whichever occurs first, but before payment of the issue fee, provided the statement is accompanied by: (i) a statement as specified in paragraph (e) of section 1.97, (ii) a petition requesting consideration of the information disclosure statement, and (iii) the petition fee set forth in §1.17(i).

NOTE: If the information disclosure statement that contains the items required by 37 C.F.R. §1.97(d) is filed before, or simultaneously with, the payment of the issue fee, then it will be considered. See Notice of April 20, 1992 (1138 O.G. 37-41, 40) and 37 C.F.R. §1.97(d).

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113, or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

CERTIFICATION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on December 11, 2001.

Serena Beller

Signature

Serena Beller

(Printed name of person certifying)

STATEMENT, PETITION AND FEE

2. In accordance with the requirements of 37 C.F.R. §1.97(d):
- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. §1.97(e).
 - B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(2).
 - C. Applicant submits the petition fee set forth §1.17(i) (\$130.00).

FEE DUE

3. Petition fee due (§1.17(i)): \$130.00

METHOD OF PAYMENT OF FEE

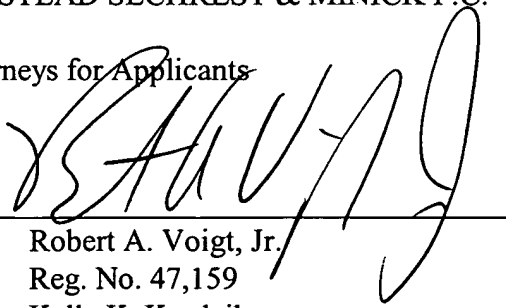
- 4.
- ☐ Attached is a check for \$130.00.
 - ☒ Charge Account 50-0563 (RP9-95-017V) \$130.00.
A duplicate of this petition is attached.

If any additional petition fees are due, please charge Account 50-0563 (RP9-95-017V).

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: 
Robert A. Voigt, Jr.
Reg. No. 47,159
Kelly K. Kordzik
Reg. No. 36,571

5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270-2199
(512) 370-2832



-1-

RECEIVED

JAN 22 2002

Technology Center 2100

In re Application of:

R. Bertram et al.

Examiner:

B. Huynh

Serial No.: 09/143,967

Group Art Unit: 2173

Filed: August 31, 1998

IBM Corporation

Intellectual Property Law

Title: MOBILE CLIENT COMPUTER
TO PREDICT INPUT

3039 Cornwallis Rd.

Research Triangle Park, NC 27709

RECEIVED
JAN 24 PM 1:46
DEPT. OF PATENT APPEALS
AND INTERFERENCES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

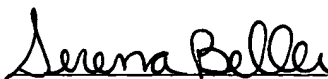
While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on December 11, 2001.

01/15/2002 CVORACHA 00000042 500563 09143967

01 FC:122 130.00 CH


Signature

Serena Beller

(Printed name of person certifying)

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: 

Robert A. Voigt, Jr.
Reg. No. 47,159
Kelly K. Kordzik
Reg. No. 36,571

5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270-2199
(512) 370-2832

\$2173

RP9-95-017V

PATENT

#19
I.D.S.



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	Before the Examiner:
R. Bertram et al.	:	B. Huynh
Serial No.: 09/143,967	:	Group Art Unit: 2173
Filed: August 31, 1998	:	IBM Corporation
	:	Dept. 972/B656
Title: MOBILE CLIENT COMPUTER	:	P.O. Box 121
TO PREDICT INPUT	:	Research Triangle Park, NC 27709

RECEIVED
JAN 22 2002
Technology Center 210

**STATEMENT FOR INFORMATION DISCLOSURE
UNDER 37 C.F.R. 1.97(e)**

Assistant Commissioner for Patents
Washington, D. C. 20231

**IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement

☒ accompanying this statement.

☐ filed _____.

RECEIVED
JAN 24 PM 1:45
APPEALS
AND INTERFERENCES

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on December 11, 2001.

Serena Beller
Signature

Serena Beller
(Printed name of person certifying)

STATEMENT

2. I, the person(s) signing below state:

- that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. 1.97(e)(1).

AND

- that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in § 1.56 more than three months prior to the filing of the information disclosure statement. 37 C.F.R. 1.97(e)(2).

IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT

3. The person making this statement is
(check each applicable item)

(a) ☐ the inventor(s) who signs below

Signature of inventor

(Type name of inventor who is signing)

(b) ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. 1.56(c)) and who signs below.

Signature of Person Making Statement

(type name of person who is signing)

Address of person who is signing

(c) ☒ the practitioner who signs below on the basis of the information:
(check each applicable item)

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the practitioner's file.



Signature of Practitioner

Robert A. Voigt, Jr.
Reg. No. 47,159

WINSTEAD SECHREST & MINICK P.C.
Attorneys for Applicant
5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270
(512) 370-2832